**INDUSTRIAL ENGINEERING, PH.D.**

**POLICIES**

**GRADUATE SCHOOL POLICIES**  
The Graduate School's Academic Policies and Procedures (https://grad.wisc.edu/acadpolicy/) provide essential information regarding general university policies. Program authority to set degree policies beyond the minimum required by the Graduate School lies with the degree program faculty. Policies set by the academic department can be found below.

**MAJOR-SPECIFIC POLICIES**  

**PRIOR COURSEWORK**  

**Graduate Work from Other Institutions**  
Not allowed for graduate residence credit requirement but allowed for graduate degree credit requirement and graduate coursework (50%) requirement. Coursework earned ten or more years prior to admission to a doctoral degree is not allowed to satisfy requirements.

**UW-Madison Undergraduate**  
Not allowed for graduate residence credit requirement for master's thesis option or the Ph.D. track but allowed up to 6 credits numbered 300 level or above toward the graduate degree credit requirement for master's course option tracks but not toward the 50% graduate coursework except for 700 level or above courses. Coursework earned five or more years prior to admission to a master's degree is not allowed to satisfy requirements.

**UW-Madison University Special**  
Allowed up to 15 credits numbered 300 or above toward graduate residence credit requirement and graduate degree credit requirement. If the courses were numbered 700 or above they may count toward the minimum graduate coursework (50%) requirement. Coursework earned ten or more years prior to admission to a doctoral degree is not allowed to satisfy requirements.

**PROBATION**  
Students who are admitted with deficiencies but do not complete these courses within the first year are subject to probation.

**ADVISOR / COMMITTEE**  
Every graduate student is required to have an advisor. A faculty advisor provides the graduate student with academic guidance regarding their course selection and research oversight in their dissertation. Graduate students should always seek advice from their advisor prior to enrolling for courses.

Many PhD students are assigned a faculty advisor when they are admitted to the program, based on a match between their research interests and those of the assigned advisor. Some PhD students are not initially matched to a faculty advisor for their research when admitted. Such students are advised by the associate chair for graduate studies in their first year. During their first year, these students explore research possibilities with different faculty in the department and choose a faculty advisor by the end of the first year.

Changing advisors during the graduate program may be necessary due to changes in a student's interests or changes in the funding sources for their support. Students should discuss an advisor change with the faculty in their area and request a change of advisor with the ISYE Student Services in Room 3182 in Mechanical Engineering Building.

**Ph.D. Committee**  
Attainment of a Ph.D. degree requires the preparation of a thesis on a research topic selected by the student and their advisor. Once a research project is selected, the student must choose his or her thesis committee*. The ISYE Graduate Program requires the thesis committee shall consist of at least four members for the Preliminary Exam Committee and at least five members for the Final Ph.D. Defense Committee including:

- The Committee Chair (the student's primary advisor). The Committee Chair must be an ISYE faculty. Emeritus faculty cannot serve as the Committee Chair.
- Three other graduate faculty members or former UW-Madison graduate faculty up to one year after resignation or retirement with two faculty members having their tenure home in ISYE.
- All Committee members are required to be readers.
- The dissertation committee must consist of at least 5 members (4 members for prelim exam) and meet the requirements set forth by the Graduate School, including for example, at least one of the members of the committee must be from a UW-Madison program outside the Industrial and Systems Engineering Department.
- The fifth member of the committee, as well as any additional members, may be from any of the following categories: graduate faculty, faculty from a department without a graduate program, academic staff (including emeritus faculty), visiting faculty, faculty from other institutions, scientists, research associates, and other individuals deemed qualified by the executive committee (or its equivalent).

**CREDITS PER TERM ALLOWED**  
Enrollment of 12 credits or less recommended. (Full time status considered 8-12 credits).

**TIME CONSTRAINTS**  
The qualifying examination requirement must be satisfied by the end of the fifth semester of enrollment after entering the ISYE graduate program.

The preliminary exam must be completed within 4 years of joining the ISYE graduate program, and within 3 years of passing the qualifying exam.

The dissertation defense must be completed either within two years after passing the preliminary exam or by the end of the 6th year in the graduate program, whichever is later.

Exceptions to these time limits may be granted by the Academic Affairs Cluster through a petition process.

**GRIEVANCES AND APPEALS**  
These resources may be helpful in addressing your concerns:

- Bias or Hate Reporting (https://doso.students.wisc.edu/bias-or-hate-reporting/)
• Graduate Assistantship Policies and Procedures (https://hr.wisc.edu/policies/gapp/#grievance-procedure)
• Hostile and Intimidating Behavior Policies and Procedures (https://hr.wisc.edu/hib/)
  • Office of the Provost for Faculty and Staff Affairs (https://facstaff.provost.wisc.edu/)
• Dean of Students Office (https://doso.students.wisc.edu/) (for all students to seek grievance assistance and support)
• Employee Assistance (http://www.eao.wisc.edu/) (for personal counseling and workplace consultation around communication and conflict involving graduate assistants and other employees, postdoctoral students, faculty and staff)
• Employee Disability Resource Office (https://employeedisabilities.wisc.edu/) (for qualified employees or applicants with disabilities to have equal employment opportunities)
• Graduate School (https://grad.wisc.edu/) (for informal advice at any level of review and for official appeals of program/departmental or school/college grievance decisions)
• Office of Compliance (https://compliance.wisc.edu/) (for class harassment and discrimination, including sexual harassment and sexual violence)
• Office of Student Conduct and Community Standards (https://conduct.students.wisc.edu/) (for conflicts involving students)
• Ombuds Office for Faculty and Staff (http://www.ombuds.wisc.edu/) (for employed graduate students and post-docs, as well as faculty and staff)
• Title IX (https://compliance.wisc.edu/titleix/) (for concerns about discrimination)

Grievance Procedures: Industrial and Systems Engineering

If a graduate student feels unfairly treated or aggrieved by faculty, staff, or another student, the University offers several avenues to resolve the grievance. Student's concerns about unfair treatment are best handled directly with the person responsible for the objectionable action. If the student is uncomfortable making direct contact with the individual(s) involved, they should contact the advisor or the person in charge of the unit where the action occurred (program or department chair, section chair, lab manager, etc). Many departments and schools/colleges have established specific procedures for handling such situations; check their web pages and published handbooks for information. If such procedures exist at the local level, these should be investigated first. For more information, see the College of Engineering Policies and Procedures (https://www.engr.wisc.edu/academics/student-services/academic-advising/policies-and-procedures/). The Assistant Dean for Graduate Affairs (engr-dean-graduateaffairs@engr.wisc.edu) provides overall leadership for graduate education in the College of Engineering (CoE), and is a point of contact for graduate students who have concerns about education, mentoring, research, or other difficulties.

Procedures for handling graduate student grievances against ISyE faculty, staff, or students:

1. The student is encouraged to speak first with the person toward whom the grievance is directed to see if a situation can be resolved at this level.

2. Should a satisfactory resolution not be achieved, the student should contact the Associate Chair for Graduate Affairs, to discuss the grievance. The Associate Chair will facilitate problem resolution through informal channels and facilitate any complaints or issues of students. The first attempt is to help students informally address the grievance prior to any formal complaint. Students are also encouraged to talk with their faculty advisors regarding concerns or difficulties, if necessary. University resources for sexual harassment, discrimination, disability accommodations, and other related concerns can be found on the UW Office of Compliance website (https://compliance.wisc.edu/).

3. If the issue is not resolved to the student's satisfaction, the student can submit the grievance to the Department Chair. The grievance should be submit in writing, within 60 calendar days of the alleged unfair treatment.

4. On receipt of a written complaint, the Department Chair will form a faculty committee that will review the complaint and gather further information as necessary from the filer of the complaint and other parties involved (including the party toward whom the complaint is directed).

5. The faculty committee will determine a decision regarding the grievance. The Department Chair will report on the action taken by the committee in writing to both the student and the party toward whom the complaint was directed within 15 working days from the date the complaint was received.

6. At this point, if either party (the student or the person toward whom the grievance is directed) is unsatisfied with the decision of the faculty committee, the party may file a written appeal to the College of Engineering Assistant Dean for Graduate Affairs (engr-dean-graduateaffairs@engr.wisc.edu). Either party has 10 working days to file a written appeal to the School/College.

7. Documentation of the grievance will be stored for at least 7 years. Significant grievances that set a precedent will be stored indefinitely.

8. The Graduate School has procedures for students wishing to appeal a grievance decision made at the school/college level. These policies are described in the Graduate School Academic Policies and Procedures - Grievances & Appeals (https://grad.wisc.edu/documents/grievances-and-appeals/).

OTHER

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